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Indianapolis, Indiana 46204

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



Customer No.: 23643

Group: 3745

Confirmation No.: 2639

Application No.: 10/806,715

Invention: COMPRESSOR

Inventor: Bahram Nikpour et al.

Filed: March 23, 2004

Attorney Docket: 38764-76735

Examiner: Unknown

### Certificate Under 37 CFR 1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Commissioner for Patents,  
P. O. Box 1450, Alexandria, VA 22313-1450

on March 14, 2005

Angela K. Hanning  
(Signature)

Angela K. Hanning  
(Printed Name)

### SUBMISSION OF POWER OF ATTORNEY TO PROSECUTE APPLICATIONS BEFORE THE USPTO AND STATEMENT UNDER 37 C.F.R. 3.73(B)

Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Applicant submits for the above-identified application the following: (1) Power of Attorney to Prosecute Applications Before the USPTO (Form PTO/SB/80) signed on February 1, 2005, by Stephen W. Caddy, the Technical Director of the Assignee of Record, and (2) a Statement Under 37 C.F.R. 3.73(b) (Form PTO/SB/96) with its attached copy of the Assignment.

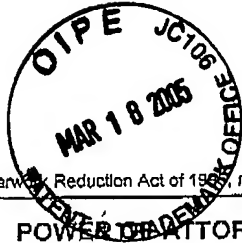
Please charge any fees that may be due in connection with this submission to our Deposit Account No. 10-0435, but not to include as payment of any issue fees.

Respectfully submitted,

John H. Alfe  
John H. Alfe, Reg. No. 39,088  
Barnes & Thornburg LLP  
11 South Meridian Street  
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JHA/aki

Doc Code:



PTO/SB/80 (11-04)

Approved for use through 11/30/2005, OMB 0851-0035

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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### POWER OF ATTORNEY TO PROSECUTE APPLICATIONS BEFORE THE USPTO

I hereby revoke all previous powers of attorney given in the application identified in the attached statement under 37 CFR 3.73(b).

I hereby appoint:

☒ Practitioners associated with the Customer  
OR

23643

☐ Practitioner(s) named below (if more than ten practitioners are to be named, then a customer number must be used):

Name	Registration Number	Name	Registration Number

as attorney(s) or agent(s) to represent the undersigned before the United States Patent and Trademark Office (USPTO) in connection with any and all patent applications assigned only to the undersigned according to the USPTO assignment records or assignment documents attached to this form in accordance with 37 CFR 3.73(b).

Please change the correspondence address for the application identified in the attached statement under 37 CFR 3.73(b) to:

☒ The address associated with Customer  
OR

23643

<input type="checkbox"/> Firm or			
Address			
City	State	Zip	
Country			
Telephone	Fax		

Assignee Name and Address:  
Holset Engineering Company, Limited  
St. Andrews Road  
Huddersfield, HD1 6RA  
UNITED KINGDOM

A copy of this form, together with a statement under 37 CFR 3.73(b) (Form PTO/SB/96 or equivalent) is required to be filed in each application in which this form is used. The statement under 37 CFR 3.73(b) may be completed by one of the practitioners appointed in this form if the appointed practitioner is authorized to act on behalf of the assignee, and must identify the application in which this Power of Attorney is to be filed.

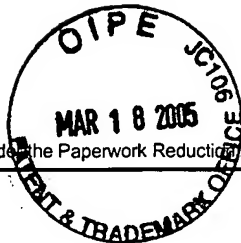
#### SIGNATURE of Assignee of Record

The individual whose signature and title is supplied below is authorized to act on behalf of the assignee

Signature		Date	Feb 1st 2005
Name	STEPHEN W. CADDY	Telephone	+44 1284 422244
Title	TECHNICAL DIRECTOR		

This collection of information is required by 37 CFR 1.31, 1.32 and 1.33. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PTO/SB/96 (06-04)  
Approved for use through 07/31/2006. OMB 0651-0031  
Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

### STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: Bahram Nikpour et al./Holset Engineering Company, Limited

Application No./Patent No.: 10/806,715 Filed/Issue Date: March 23, 2004

Entitled: **COMPRESSOR**

Holset Engineering Company, Limited, a Corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.  
The extent (by percentage) of its ownership interest is \_\_\_\_\_ %  
in the patent application/patent identified above by virtue of either:

A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

OR

B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.
2. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.
3. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

☐ Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., a true copy of the original assignment document (s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

  
\_\_\_\_\_  
Signature

March 14, 2005  
\_\_\_\_\_  
Date

John H. Allie  
\_\_\_\_\_  
Printed or Typed Name

317-261-7944  
\_\_\_\_\_  
Telephone number

Agent of Record  
\_\_\_\_\_  
Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETE D FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

**ASSIGNMENT**

WHEREAS, Bahram Nikpour of 24 Benomley Road, Huddersfield, HD5 8LS, United Kingdom; Andrew Day of 47 New Street, Golcar, Huddersfield HD7 <sup>4407</sup> ~~4400~~, United Kingdom; Roy Saxton 111 Wessendenhead Road, Meltham, Holmfirth HD9 4HR, United Kingdom; and John Bywater of 36, Cromarty Avenue, Crosland Moor, Huddersfield, HD4 5LG, United Kingdom, hereinafter referred to as Assignors, have made one or more new and useful inventions in **COMPRESSOR** for which Assignors have made or will make application for Letters Patent of the United States (hereinafter the "Application"); and

WHEREAS, Holset Engineering Company, Limited, hereinafter referred to as Assignee, a corporation of the United Kingdom, having a principal place of business at St. Andrews Road, Huddersfield, HD1 6RA, United Kingdom, desires to acquire all of the entire right, title, and interest in, to and under the one or more inventions disclosed, described and claimed or intended so to be in the Application, and in, to and under the Application, and in, to and under any and all Letters Patent, United States and foreign, which may be obtained therefor and thereon;

NOW, THEREFORE, to all whom it may concern, be it known that for and in consideration of the sum of One Dollar (\$1.00) and other good, valuable and sufficient considerations to Assignors in hand paid, the receipt of which is hereby acknowledged, Assignors have sold, assigned and transferred, and by these presents do sell, assign and transfer unto Assignee, all of the entire right, title, and interest in, to and under the one or more inventions disclosed, described and claimed or intended so to be in the Application entitled **COMPRESSOR**, and any and all other applications thereon and arising therefrom, including any and all applications claiming the benefit thereof, and any and all divisions, continuations.

and continuation-in-parts thereof, and any and all patents to be issued and obtained therefor and thereon, United States and foreign, including all reissues and extensions thereof.

Assignors acknowledge that the Application was executed concurrently herewith unless a different execution date is indicated in item (a) below. Assignors hereby authorize the Assignee, its counsel, or its agents to fill-in some or all of the following list of information items (a) through (c) at the discretion of the Assignee, its counsel, or its agents:

- (a) Execution Date of the Application: September 2, 2004
- (b) Patent Office Number for the Application: 10/806,715
- (c) Filing date of the Application: March 23, 2004

Assignors hereby authorize and request the Honorable Assistant Secretary and Commissioner of Patents and Trademarks to issue said Letters Patent to Assignee, its assigns and legal representatives.

Assignors agree to assign and execute any and all other papers necessary or desirable for the procurement of Letters Patent on said invention in this and all foreign countries for the use of Assignee.

WITNESS First Assignor's hand this 2nd day of September, 2004.

DMADDEN

Dmadden

2nd September 2004

x B. Nikpour

Bahram Nikpour

WITNESS Second Assignor's hand this 2nd day of Sept, 2004.

D MADDEN

W Madden

2nd September 2004

  
Andrew Day

WITNESS Third Assignor's hand this 2 day of SEPTEMBER, 2004.

WITNESS D MADDEN

W Madden

2 SEPT 2004

  
Roy Saxton

WITNESS Fourth Assignor's hand this 2 day of SEPT, 2004.

WITNESS D MADDEN

W Madden

2 SEPT 2004

  
John Bywater